



PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Castillo et al.

Examiner: Tate, C.

Serial No.: 10/624,436

Group Art Unit: 1655

Filing Date: 07/21/2003

Attorney Docket No.: PROTEO.P18D1

Title of Invention: Methods of Isolating Amyloid-Inhibiting Compounds and Use of Compounds Isolated from *Uncaria tomentosa* and Related Plants

Kirkland, Washington 98034
April 26, 2007

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TO THE COMMISSIONER FOR PATENTS
PO Box 1450
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TRANSMITTAL OF TERMINAL DISCLAIMER

Applicant herewith files the attached signed Terminal Disclaimer, Statement Under 37 CFR 3.73(b) and copies of the recorded assignments in this application. A check for the fee under 37 CFR 1.20(d) is attached for \$65, as required. Applicant requests a call to Patrick Dwyer at (206) 550-4049 if there are any questions.

Respectfully submitted,

PATRICK MICHAEL DWYER
Reg. No. 32,411

P18D1-TermDis.TRN

PROTEOTECH, INC.
12040 115TH AVE NE
KIRKLAND, WA 98034

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, PO Box 1450, Alexandria, VA 22313-1450.

April 26, 2007

1-8PCRT.INS

**TERMINAL DISCLAIMER TO OBIATE A DOUBLE PATENTING
REJECTION OVER A "PRIOR" PATENT**Docket Number (Optional)
Proteo.P18D1

In re Application of: Gerardo Castillo, Paula Y. Choi, Beth P. Nguyen and Alan D. Snow

Application No.: 10/624,436

Filed: 7/21/2003

For: Methods of isolating amyloid-inhibiting compounds and use of compounds isolated from *Uncaria tomentosa* and related plants

The owner*, ProteoTech Inc., of 100 percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term prior patent No. 6,929,808 as the term of said prior patent is defined in 35 U.S.C. 154 and 173, and as the term of said prior patent is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of the term of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of the prior patent, "as the term of said prior patent is presently shortened by any terminal disclaimer," in the event that said prior patent later:

- expires for failure to pay a maintenance fee;
- is held unenforceable;
- is found invalid by a court of competent jurisdiction;
- is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321;
- has all claims canceled by a reexamination certificate;
- is reissued; or
- is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

Check either box 1 or 2 below, if appropriate.

1. ☒ For submissions on behalf of a business/organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the business/organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

2. ☐ The undersigned is an attorney or agent of record. Reg. No. _____

4/2/07
Date

Alan D. Snow, President and CSO of ProteoTech Inc.

Typed or printed name

04/30/2007 HVJONG1 00000019 10624436

01 FC:2014

65.00 OP

425-823-6364

Telephone Number

- ☒ Terminal disclaimer fee under 37 CFR 1.20(d) included.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner).
Form PTO/SB/96 may be used for making this certification. See MPEP § 324.

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: ProteoTech IncApplication No./Patent No.: 10/624,436 Filed/Issue Date: 7/21/2003Entitled: Methodsof Isolating Amyloid-InhibitingCompounds and Use of Compounds
Isolated from Uncaria tomentosa and Related PlantsProteoTech Inc, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. *See Attached copies*

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Alan D. Snow
Signature

Alan D. Snow

Printed or Typed Name

President and CSO of ProteoTech Inc

Title

4/2/07
Date425-823-6364

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

FILE

500005110A

AUGUST 23, 2004

PTAS

PROTEOTECH, INC.
1818 WESTLAKE AVENUE N, SUITE 114
SEATTLE, WA 98109

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/22/2004

REEL/FRAME: 015016/0973
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CHOI, PAULA Y

DOC DATE: 07/28/2004

ASSIGNEE:

PROTEOTECH, INC
12026 115TH AVE NE
KIRKLAND, WASHINGTON 98034

SERIAL NUMBER: 10624436

FILING DATE: 07/21/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: METHODS OF ISOLATING AMYLOID-INHIBITING COMPOUNDS AND USE OF
COMPOUNDS ISOLATED FROM UNCARIA TOMENTOSA AND RELATED PLANTS

ASSIGNMENT OF INVENTION

In consideration of payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), and for other good and valuable consideration, the receipt of which is hereby acknowledged,

ASSIGNOR, Paula Y. Choi,

a US citizen who resides at 526 San Andres Drive, Solana Beach, CA 92075, does hereby sell, assign and transfer to

ASSIGNEE, ProteoTech, Inc.,

a corporation under the laws of Washington and doing business at 12026 - 115th Avenue NE, Kirkland, WA 98034, and to the successors, assigns and legal representatives of the ASSIGNEE, ASSIGNOR's entire right, title and interest, whether now held or after acquired, for the United States and its territorial possessions, and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

Methods of Isolating Amyloid-Inhibiting Compounds and Use of Compounds Isolated from *Uncaria tomentosa* and Related Plants

("the Invention"), which is found in U.S. patent application serial number 10/624,436 filed on 07/21/2003, by Gerardo Castillo, Paula Y. Choi, Beth P. Nguyen and Alan D. Snow, inventors, and to any and all patents and patent applications as may be related to the Invention and owned by ASSIGNOR, now or hereafter filed, and in any legal equivalent thereof in a foreign country, including the right to claim priority, and the right to sue for past infringement, and in and to all Letters Patent to be obtained for said invention by the above application, and in and to any continuation, division, renewal, or substitute thereof, and, as to letters patent, any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes hereof.

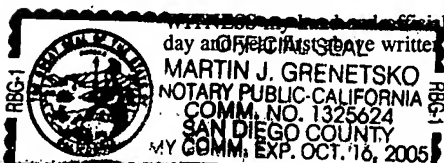
7 / 28 IN WITNESS WHEREOF, I have hereunto set hand and seal this ____ day of _____, 2004.

Paula Y. Choi

P18D1-ASSN.IN2

STATE OF CALIFORNIA
COUNTY OF SAN DIEGO } ss.

On this 28 day of July, 2004, Paula Choi to me known to be the individual described in, and who executed, the foregoing instrument and acknowledged to me that he/she signed the same as his/her free and voluntary act and deed for the uses and purposes therein mentioned, personally appeared before me, the undersigned Notary Public in and for the State of CALIFORNIA duly commissioned and sworn.



seal hereto affixed this

Martin J. Grenetsko
NOTARY PUBLIC in and for
the State of CALIFORNIA
residing at SAN DIEGO
My Commission expires: 10/16/05



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
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Washington, D.C. 20231



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FILE

AUGUST 23, 2004

PTAS

PROTEOTECH, INC.
1818 WESTLAKE AVENUE N, SUITE 114
SEATTLE, WA 98109

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/22/2004

REEL/FRAME: 015016/0975
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

NGUYEN, BETH P.

DOC DATE: 07/12/2004

ASSIGNEE:

PROTEOTECH, INC.
12026 115TH AVE NE
KIRKLAND, WASHINGTON 98034

SERIAL NUMBER: 10624436

FILING DATE: 07/21/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: METHODS OF ISOLATING AMYLOID-INHIBITING COMPOUNDS AND USE OF
COMPOUNDS ISOLATED FROM UNCARIA TOMENTOSA AND RELATED PLANTS

015016/0975 PAGE 2

MARY BENTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

ASSIGNMENT OF INVENTION

In consideration of payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), and for other good and valuable consideration, the receipt of which is hereby acknowledged,

ASSIGNOR, Beth P. Nguyen,

a US citizen who resides at 223 - 189th Place SW, Bothell, WA 98012, does hereby sell, assign and transfer to

ASSIGNEE, ProteoTech, Inc.,

a corporation under the laws of Washington and doing business at 12026 - 115th Avenue NE, Kirkland, WA 98034, and to the successors, assigns and legal representatives of the ASSIGNEE, ASSIGNOR's entire right, title and interest, whether now held or after acquired, for the United States and its territorial possessions, and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

Methods of Isolating Amyloid-Inhibiting Compounds and Use of Compounds Isolated from *Uncaria tomentosa* and Related Plants

("the Invention"), which is found in U.S. patent application serial number 10/624,436 filed on 07/21/2003, by Gerardo Castillo, Paula Y. Choi, Beth P. Nguyen and Alan D. Snow, inventors, and to any and all patents and patent applications as may be related to the Invention and owned by ASSIGNOR, now or hereafter filed, and in any legal equivalent thereof in a foreign country, including the right to claim priority, and the right to sue for past infringement, and in and to all Letters Patent to be obtained for said invention by the above application, and in and to any continuation, division, renewal, or substitute thereof, and, as to letters patent, any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes hereof.

IN WITNESS WHEREOF, I have hereunto set hand and seal this 12 day of July, 2004.

Beth P. Nguyen



P13D1-ASSN.IN3


STATE OF WA

COUNTY OF King

} ss.

On this 12th day of July, 2004, Beth P. Nguyen, to me known to be the individual described in, and who executed, the foregoing instrument and acknowledged to me that he/she signed the same as his/her free and voluntary act and deed for the uses and purposes therein mentioned, personally appeared before me, the undersigned Notary Public in and for the State of WA duly commissioned and sworn.

WITNESS my hand and official seal hereto affixed this day and year first above written.


NOTARY PUBLIC in and for
the State of WA
residing at: Kenmore, WA
My Commission expires: November 2005



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
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Washington, D.C. 20231

**FILE**

AUGUST 23, 2004

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500005114

PROTEOTECH, INC.
1818 WESTLAKE AVENUE N, SUITE 114
SEATTLE, WA 98109

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/22/2004

REEL/FRAME: 015018/0099
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SNOW, ALAN D

DOC DATE: 07/01/2004

ASSIGNEE:

PROTEOTECH, INC.
12026 115TH AVE NE
KIRKLAND, WASHINGTON 98034

SERIAL NUMBER: 10624436

FILING DATE: 07/21/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: METHODS OF ISOLATING AMYLOID-INHIBITING COMPOUNDS AND USE OF
COMPOUNDS ISOLATED FROM UNCARIA TOMENTOSA AND RELATED PLANTS

ASSIGNMENT OF INVENTION

In consideration of payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), and for other good and valuable consideration, the receipt of which is hereby acknowledged,

ASSIGNOR, Alan D. Snow,

a US citizen who resides at 3812 - 167th Place SW, Lynnwood, WA 98037, does hereby sell, assign and transfer to

ASSIGNEE, ProteoTech, Inc.,

a corporation under the laws of Washington and doing business at 12026 - 115th Avenue NE, Kirkland, WA 98034, and to the successors, assigns and legal representatives of the ASSIGNEE, ASSIGNOR's entire right, title and interest, whether now held or after acquired, for the United States and its territorial possessions, and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

Methods of Isolating Amyloid-Inhibiting Compounds and Use of Compounds Isolated from Uncaria tomentosa and Related Plants

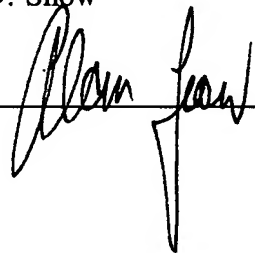
("the Invention"), which is found in U.S. patent application serial number 10/624,436 filed on 07/21/2003, by Gerardo Castillo, Paula Y. Choi, Beth P. Nguyen and Alan D. Snow, inventors, and to any and all patents and patent applications as may be related to the Invention and owned by ASSIGNOR, now or hereafter filed, and in any legal equivalent thereof in a foreign country, including the right to claim priority, and the right to sue for past infringement, and in and to all Letters Patent to be obtained for said invention by the above application, and in and to any continuation, division, renewal, or substitute thereof, and, as to letters patent, any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes hereof.

IN WITNESS WHEREOF, I have hereunto set hand and seal this 5th day of July, 2004.

Alan D. Snow



STATE OF

Washington

COUNTY OF

King

} ss.

On this 1st day of July, 2004, Alan Snow, to me known to be the individual described in, and who executed, the foregoing instrument and acknowledged to me that he/she signed the same as his/her free and voluntary act and deed for the uses and purposes therein mentioned, personally appeared before me, the undersigned Notary Public in and for the State of WA duly commissioned and sworn.

WITNESS my hand and official seal hereto affixed this day and year first above written.

NOTARY PUBLIC in and for
the State of WA

residing at: Kenmore, WA
My Commission expires: November 06



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
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Washington, D.C. 20231



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AUGUST 30, 2004

PTAS

PROTEOTECH, INC.
1818 WESTLAKE AVENUE N, SUITE 114
SEATTLE, WA 98109

UNITED STATES PATENT AND TRADEMARK OFFICE
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RECORDATION DATE: 08/28/2004

REEL/FRAME: 015050/0100
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CASTILLO, GERARDO

DOC DATE: 08/23/2004

ASSIGNEE:

PROTEOTECH, INC
12026 115TH AVE NE
KIRKLAND, WASHINGTON 98034

SERIAL NUMBER: 10624436

FILING DATE: 07/21/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: METHODS OF ISOLATING AMYLOID-INHIBITING COMPOUNDS AND USE OF
COMPOUNDS ISOLATED FROM UNCARIA TOMENTOSA AND RELATED PLANTS

015050/0100 PAGE 2

SHAREILL COLES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

ASSIGNMENT OF INVENTION

In consideration of payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), and for other good and valuable consideration, the receipt of which is hereby acknowledged,

ASSIGNOR, Gerardo Castillo,

a US citizen who resides at 20716 37th Ave SE, Bothell, WA 98021, does hereby sell, assign and transfer to

ASSIGNEE, ProteoTech, Inc.,

a corporation under the laws of Washington and doing business at 12026 - 115th Avenue NE, Kirkland, WA 98034, and to the successors, assigns and legal representatives of the ASSIGNEE, ASSIGNOR's entire right, title and interest, whether now held or after acquired, for the United States and its territorial possessions, and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

Methods of Isolating Amyloid-Inhibiting Compounds and Use of Compounds Isolated from Uncaria tomentosa and Related Plants

("the Invention"), which is found in U.S. patent application serial number 10/624,436 filed on 07/21/2003, by Gerardo Castillo, Paula Y. Choi, Beth P. Nguyen and Alan D. Snow, inventors, and to any and all patents and patent applications as may be related to the Invention and owned by ASSIGNOR, now or hereafter filed, and in any legal equivalent thereof in a foreign country, including the right to claim priority, and the right to sue for past infringement, and in and to all Letters Patent to be obtained for said invention by the above application, and in and to any continuation, division, renewal, or substitute thereof, and, as to letters patent, any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes hereof.

IN WITNESS WHEREOF, I have hereunto set hand and seal this 23rd day of August, 2004.

Gerardo Castillo



PIED1-ASSN.IN1

STATE OF WA

COUNTY OF King

} ss.

On this 23rd day of August, 2004, Gerardo Castillo, to me known to be the individual described in, and who executed, the foregoing instrument and acknowledged to me that he/she signed the same as his/her free and voluntary act and deed for the uses and purposes therein mentioned, personally appeared before me, the undersigned Notary Public in and for the State of Washington duly commissioned and sworn.

WITNESS my hand and official seal hereto affixed this day and year first above written.

NOTARY PUBLIC in and for

the State of WA

residing at: Kenmore, WA

My Commission expires: November 06